| UNIT CODE | PSPFRU009 |
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| UNIT TITLE | **Develop fraud control strategy** |
| APPLICATION | This unit describes the performance outcomes, skills and knowledge required to develop a fraud/corruption control strategy as an integral part of the broader corporate management strategy for an organisation. |
| | This unit applies to those working autonomously in roles involving the development of strategies to control fraud and corruption within their organisation, performing complex tasks in a range of familiar contexts. |
| | The skills in this unit must be applied in accordance with Commonwealth and State or Territory legislation, Australian standards and industry codes of practice. |
| | No occupational licensing, certification or specific legislative or certificate requirements apply to this unit at the time of publication. |
| PREREQUISITE UNIT | Nil |
| COMPETENCY FIELD | Fraud control |
| UNIT SECTOR | |

| ELEMENTS | PERFORMANCE CRITERIA |
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| *Elements describe the essential outcomes* | *Performance criteria describe the performance needed to demonstrate achievement of the element.* |
| 1. Identify organisational needs and obligations | 1.1 Research fraud and corruption obligations of the organisation.<br>1.2 Identify all reporting requirements.<br>1.3 Identify organisational fraud and corruption detection data analysis and information needs in line with organisational policies and procedures.<br>1.4 Incorporate a strategic management approach to the prevention of corruption and fraudulent activities in the needs identification.<br>1.5 Identify organisational responsibility for implementation of the organisation's strategy and seek senior management agreement.<br>1.6 Identify organisational responsibility for the protection of persons who provide information under legislation related to public interest disclosures, protected disclosures or whistleblowing legislation. |

| 2. Benchmark fraud/corruption control strategy | 2.1 Identify performance indicators.<br>2.2 Use best practice examples in the development of benchmarks.<br>2.3 Use standards-setting organisations to assist in the identification of benchmarks relative to the organisation. |
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| 3. Assess stakeholder and environmental considerations | 3.1 Include client entitlements.<br>3.2 Consider issues.<br>3.3 Consider constraints of operating environment.<br>3.4 Consider client and community expectations.<br>3.5 Identify management perspectives on the implementation of fraud and corruption control in the organisation. |
| 4. Develop organisation's fraud and corruption control strategy | 4.1 Achieve balance between compliance requirements and operational pressures.<br>4.2 Identify strategies to enable constant updating of the fraud and corruption control strategy.<br>4.3 Incorporate key factors, including the organisational environment and core business.<br>4.4 Recommend strategies based on assessment of relevant factors.<br>4.5 Incorporate quality assurance into the strategy and allocate responsibility for maintaining quality assurance.<br>4.6 Obtain acceptance of the strategy ensuring it meets the requirements of the relevant standards-setting organisations. |
| 5. Implement fraud and corruption control strategy | 5.1 Develop initiatives required to minimise fraud and corruption in consultation with stakeholders.<br>5.2 Identify timeframe for implementation of strategy based upon an understanding of resource ramifications.<br>5.3 Identify areas within the organisation responsible for actions to minimise fraud and corruption.<br>5.4 Develop procedures for the reporting of information and the protection of persons who provide information under public interest disclosures, protected disclosures or whistleblowing legislation.<br>5.5 Undertake consultations to facilitate understanding of responsibilities. |
| 6. Analyse and review effectiveness of strategy | 6.1 Develop a process to review key features of the strategy.<br>6.2 Establish feedback and reporting mechanisms to provide information about effectiveness of the strategy measured against objectives, and to provide advice regarding changes to management practices.<br>6.3 Incorporate results of analysis and review to improve fraud and corruption control. |

| FOUNDATION SKILLS |
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| *Foundation skills essential to performance in this unit, but not explicit in the performance criteria are listed here, along with a brief context statement.* |

| SKILLS | DESCRIPTION |
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| Reading skills to: | ■ select, read and interpret texts relevant to fraud and corruption control. |
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| Writing skills to: | ■ communicate complex ideas relating to strategic direction, matching style of writing to purpose and audience. |
| Oral communication skills to: | ■ participate in a variety of spoken exchanges with a range of audiences varying structure and language to suit the audience. |
| Initiative and enterprise skills to: | ■ encourage critical thinking to establish and monitor innovative ideas. |
| Teamwork skills to: | ■ collaborate with other stakeholders to achieve joint outcomes. |
| Technology skills to: | ■ use digital based technology and applications to access, organise, integrate and share information. |
| **UNIT MAPPING INFORMATION** | Release 1: This unit supersedes and is equivalent to PSPFRU009 Develop fraud control strategy. |
| **LINKS** | Companion Volume Implementation Guide |


| **TITLE** | **Assessment Requirements for PSPFRU009 Develop fraud control strategy** |
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| **PERFORMANCE EVIDENCE** | Evidence of the ability to complete tasks outlined in elements and performance criteria of this unit in the context of the job role, and on at least one occasion:<br><br>■ undertake analysis and development of a fraud or corruption control strategy<br>■ incorporate essential attributes of an effective fraud/corruption control policy into the strategy<br>■ consult with senior management regarding the integration of the fraud/corruption control strategy with the broader corporate objectives. |

| KNOWLEDGE EVIDENCE | Demonstrated knowledge required to complete the tasks outlined in elements and performance criteria of this unit:<br><br>■ the concepts of fraud and corruption risk management<br>■ risk management standards and techniques<br>■ risk profile of the agency<br>■ agency corporate objectives and policy planning processes<br>■ the relationship between the fraud and corruption control strategy and the corporate goals and management practice of the agency<br>■ control framework operating in the agency<br>■ jurisdictional fraud and corruption control requirements<br>■ external reporting requirements<br>■ public sector values and codes of conduct<br>■ fraud and corruption control guidelines and standards<br>■ legislation and procedures relating to public interest disclosures, protected disclosures or whistleblowing<br>■ legislation, policies and procedures relevant to fraud and corruption control. |
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| ASSESSMENT CONDITIONS | Skills must be demonstrated in either a:<br><br>■ workplace environment or<br>■ simulated environment.<br><br>Simulated assessment environments must simulate the real-life working environment where the skills and knowledge within this unit would be utilised, with all the relevant equipment and resources of that working environment.<br><br>Assessment must ensure access to:<br><br>■ legislation, policy and procedures relating to fraud and corruption control.<br><br>Assessors must satisfy the Standards for Registered Training Organisations' requirements for assessors. |
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| LINKS | Companion Volume Implementation Guide |